

FORM PTO-1390  
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

9793822-0158

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

10/009021

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/JP01/03051	09 April 2001 (9.04.01)	07 April 2000 (7.04.00)
<b>TITLE OF INVENTION</b> ORGANIC ELECTROLUMINESCENT ELEMENT AND LUMINESCENT DEVICE		
<b>APPLICANT(S) FOR DO/EO/US</b> ISHIBASHI, Tadashi; ICHIMURA, Mari; UEDA, Naoyuki and TAMURA, Shinichiro		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND or SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4.  The US has been elected by the expiration of 19 months from the priority date (Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto (required only if not communicated by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto.
  - b.  has been previously submitted under 35 U.S.C. 154(d)(4).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not communicated by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unexecuted)
10.  An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.
14.  A **SECOND or SUBSEQUENT** preliminary amendment.
15.  A substitute specification.
16.  A change of power of attorney and/or address letter.
17.  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18.  A second copy of the published international application under 35 U.S.C. 154(d)(4).
19.  A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20.  Other items or information:

Formal drawings (5 pages - Figs. 1-10)

International Search Report

Cited References

Form PCT/IB/301, 304, 308

U.S. APPLICATION NO. (if known, e.g., OFF. I.5)  
**107009021**INTERNATIONAL APPLICATION NO.  
**PCT/JP01/03051**ATTORNEY'S DOCKET NUMBER  
**9793822-0158**21.  The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	55 -20 =	35	x \$18.00	\$ 630.00
Independent claims	6 -3 =	3	x \$80.00	\$ 252.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ 280.00

**TOTAL OF ABOVE CALCULATIONS =**

\$ 2,182.00

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	+	\$ 0.00
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**SUBTOTAL =**

\$ 2,182.00

Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	\$ 0.00
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**TOTAL NATIONAL FEE =**

\$ 2,182.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	+	\$ 0.00
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**TOTAL FEES ENCLOSED =**

\$ 2,182.00

Amount to be refunded:

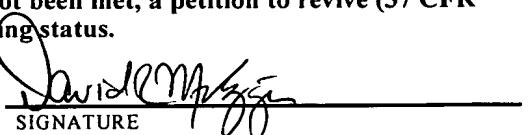
charged:

- a.  A check in the amount of \$ 2,182.00 to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.
- d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

David R. Metzger  
Sonnenschein Nath & Rosenthal  
P.O. Box 061080  
Wacker Drive Station - Sears Tower  
Chicago, IL 60606  
US



SIGNATURE

\_\_\_\_\_  
**David R. Metzger**

NAME

\_\_\_\_\_  
**32,919**  
REGISTRATION NUMBER

EXPRESS MAIL #EL834723455US

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label Number: EL834723455US

Date of Deposit: December 5, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to USPTO, P.O. Box 2327 - PCT, Arlington, VA 22202.

Applicant(s): Ishibashi, et al.

Attorney Docket No. 9793822-0158

Transmittal Letter to US

PCT Request Form (PCT Easy - Japanese)

English Translation of Application (including specification, claims and abstract)

Drawings (5 pages - Figs. 1-10)

International Search Report (English/Japanese)

Form PCT/IB/301, 304, 308

Information Disclosure Statement , Form 1449 and cited references

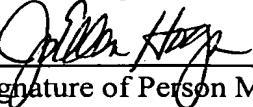
Unexecuted Declaration/Power of Attorney

Preliminary Amendment

Check in the amount of \$2,182.00

Return postcard

JoEllen Hogan

 12/5/01  
\_\_\_\_\_  
Signature of Person Mailing Application and Fees